The Combinatorics of Barrier Synchronization^a

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^aResearch partially supported by the MetACOnc project ANR-15-CE40-0014. ^band other (less powerful) models of concurrency

We study **concurrent systems** from the point of view of **combinatorics** specifically:

Enumerative combinatorics

 \Rightarrow The science of counting "composable things"

Order theory

 \Rightarrow the science of *partially ordered sets* a.k.a. Posets

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A set of objects associated to a notion of a (finite) **size**, and such that there is a *finite number* of objects of a given size.

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A set of objects associated to a notion of a (finite) **size**, and such that there is a *finite number* of objects of a given size.

 \Rightarrow but what is the size of a concurrent process ?

A very simple calculus of barrier synchronization.

| Process | | Size . | |
|-------------------------|------------------------|--------------|-------------------------------|
| <i>P</i> , <i>Q</i> ::= | 0 | 0 | (termination) |
| | $ \alpha.P$ | 1 + P | (atomic action and prefixing) |
| | $ \nu(B)P$ | 1 + P | (barrier and scope) |
| | $ \langle B \rangle P$ | 1 + P | (synchronization) |
| | P Q | 1 + P + Q | (parallel) |

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Remark: \checkmark finite size , \checkmark finite number of objects of size *n*

0, α .**0**, $\langle B \rangle$ **0**, $\nu(B)$ **0**, α . β .**0**, ..., **0** || **0**, ..., α .**0** || **0**, ...

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0, α .0, $\langle B \rangle$ 0, $\nu(B)$ 0, α . β .0, ..., 0 || 0, ..., α .0 || 0, ...

 \Rightarrow what about a **semantic** notion of a size?

Process behavior in a nutshell (cf. relatively "unpleasant" proof system in the paper)

 $P \stackrel{\text{\tiny defs}}{=} \nu(B) \ [a_1.\langle B \rangle a_2.0 \parallel \langle B \rangle b_1.0 \parallel \langle B \rangle 0]$

 \Rightarrow synchronization on barrier $\langle B \rangle$ is not available because the leftmost process is not ready.

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Definition (Execution) A maximal path of transitions

2 paths: $P \xrightarrow{a_1} \rightarrow \xrightarrow{a_2} \xrightarrow{b_1} 0$ and $P \xrightarrow{a_1} \rightarrow \xrightarrow{b_1} \xrightarrow{a_2} 0$

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 \Rightarrow (semantic) size 2

Counting executions?

Why taking the number of (interleaved) executions as size?

▷ **Intuitively** it lets us observe/reason about *combinatorial explosion*.

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e.g. $\alpha_1.\alpha_2.\alpha_3.\alpha_4.\alpha_5.0$ has syntactic size 5 and semantic size 1 whereas $\alpha_1.\alpha_2.0 \parallel \alpha_3.\alpha_4.0$ has syntactic size 5 and semantic size 6

1.
$$\frac{\alpha_{1}}{\alpha_{1}} \cdot \frac{\alpha_{2}}{\alpha_{3}} \cdot \frac{\alpha_{3}}{\alpha_{4}} \cdot \frac{\alpha_{4}}{\alpha_{4}}$$
2.
$$\frac{\alpha_{1}}{\alpha_{1}} \cdot \frac{\alpha_{3}}{\alpha_{3}} \cdot \frac{\alpha_{2}}{\alpha_{2}} \cdot \frac{\alpha_{4}}{\alpha_{4}}$$
3.
$$\frac{\alpha_{1}}{\alpha_{3}} \cdot \frac{\alpha_{3}}{\alpha_{1}} \cdot \frac{\alpha_{4}}{\alpha_{2}} \cdot \frac{\alpha_{2}}{\alpha_{4}}$$
4.
$$\frac{\alpha_{3}}{\alpha_{3}} \cdot \frac{\alpha_{1}}{\alpha_{1}} \cdot \frac{\alpha_{2}}{\alpha_{4}} \cdot \frac{\alpha_{2}}{\alpha_{2}}$$
5.
$$\frac{\alpha_{3}}{\alpha_{3}} \cdot \frac{\alpha_{4}}{\alpha_{1}} \cdot \frac{\alpha_{1}}{\alpha_{2}} \cdot \frac{\alpha_{2}}{\alpha_{2}}$$
6.
$$\frac{\alpha_{3}}{\alpha_{3}} \cdot \frac{\alpha_{4}}{\alpha_{4}} \cdot \frac{\alpha_{1}}{\alpha_{2}} \cdot \frac{\alpha_{2}}{\alpha_{2}}$$

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- generate executions uniformly at random
- "navigate" the state-space wrt. the uniform distribution of executions, e.g. exploring the "less probable" parts of the system under study (skewing the uniform distribution)
- property-based (generative) testing
- statistical model-checking¹

¹cf. *Monte Carlo model checking*, R. Gosu and S. A. Smola, Tacas 2005.

Question: is it *difficult* to compute the semantic size of a process, i.e. to count its distinct executions ?

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▷ e.g. tree-shaped processes² (scheduling problems):

for a tree
$$T$$
, $\frac{|T|!}{\prod_{S \text{ a subtree of } T} |S|}$

(⇒ Hook-length formula, known since at least Knuth's TAOC but we had to find it) ▷ also series-parallel processes³ (SP-posets): counting in O(n)▷ also asynchronous structures⁴ (promises): counting in $O(n^2)$

²A Quantitative Study of Parallel Processes, EJC Vol.13/1 (2016).

³Entropic Uniform Sampling of Linear Extensions in Series-Parallel Posets. CSR 2017 ⁴Beyond Series-Parallel Concurrent Systems: The Case of Arch Processes. AofA 2018

In the paper, we show:

- A non-deadlocked process expressed in the very simple barrier synchronization calculus (shown previously) has a control graph shaped after an intransitive directed acyclic graph (DAG)
- The correspondance is complete: any (intransitive) DAG can be expressed as a process (we did not pickup the syntax arbitrarily)
- The one-to-one correspondance conveys to partially ordered sets, a.k.a. Posets (the covering of a poset is an intransitive DAG, a.k.a its transitive reduction seen as a digraph)

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Consequence: Process executions = Linear extensions (of arbitrary Posets)

Consequence²: Counting process executions = Counting linear extensions (of arbitrary Posets)

Consequence³: Counting process executions is ♯-P complete (and that's not good) ⇒ cf. *Counting Linear Extensions* by G. Brightwell and P. Winkler. Order (1991) In the paper, we show:

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... However there is a uniform random sampler available (*Fast perfect sampling of linear extensions*. M. Huber. Discrete Mathematics (2006)).

Geometrical foundation: continuous embedding of a Poset

(This is classical combinatorics, but that does not make it easy to grasp...)

Idea⁵: Continuous embedding of a Poset into the unit hypercube.

⁵*Two poset polytopes.* R. P. Stanley. Discrete & computational geometry (1986).

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Idea⁵: Continuous embedding of a Poset into the unit hypercube. **Example**: embedding $\{x, y, z\}$ (size 3) into the hypercube (dimension 3)



Remark: there is no constraint here, it's the unordered partial order. ⁵*Two poset polytopes.* R. P. Stanley. Discrete & computational geometry (1986).





Let's first "slice" the hypercube by an hyperplane splitting the (x, y) face



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By successive slicing we can build a polytope C_P for an arbitrary poset P.

(note that the relative order of slices is arbitrary, this is just intersection)

From slices to linear extensions

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 \Rightarrow the number of linear extensions is then $|\ell| = n! \cdot \text{Vol}(C_P)$ (with Vol "simply" a sum, i.e. an higher-dimensional integral)

Contribution 1: the BITS decomposition of DAGs/Posets/Barrier processes

Based on the hypercube embedding, this is "obvious" (isn't it?):



Remark: Ψ is your "current" polytope, Ψ' is the next one.

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Fact: the obtained formula is linear without the (S)plit rule

 \Rightarrow What can we do without it? What does it mean to need it?



 \Rightarrow this process is BIT-decomposable, its has 1975974 distinct computations $_{(Maxima\ computation)}$

















 b_1

 b_2

b₃





Based on the hypercube embedding, this is (less but still) "obvious" (isn't it?):

Algorithm 1 Uniform sampling of a simplex of the order polytope

function SAMPLEPOINT($\mathcal{I} = \int_{a}^{b} f(y_i) dy_i$) $C \leftarrow \text{eval}(\mathcal{I})$; $U \leftarrow \text{UNIFORM}(a, b)$ $Y_i \leftarrow \text{the solution } t \text{ of } \int_{a}^{t} \frac{1}{c} f(y_i) dy_i = U$ **if** f is not a symbolic constant **then** SAMPLEPOINT($f\{y_i \leftarrow Y_i\}$) **else return** the Y_i 's

 \Rightarrow Complexity is linear in the number of integrals

 \Rightarrow details (and example) in the paper of course!

(Micro-) Benchmark

 10^{130}

200:66

Alternative potential title: $217028 \times 2 \cdot 10^{292431}$ states and beyond ! $_{(\text{jokel})}$

| FJ size | $\sharp \mathcal{LE}$ | FJ gen | (count) | BIT gen | (count) | CFTP gen |
|-----------|-----------------------|--------------------|------------------|---------------|---------------|-----------------------|
| 10 | 19 | $1.10^{-5} { m s}$ | $(2.10^{-4} s)$ | $6.10^{-4} s$ | (0.03 s) | 0.04 s |
| 30 | 10 ⁹ | $2.10^{-5} s$ | $0(2.10^{-4} s)$ | 0.02 s | (0.03 s) | 1.8 s |
| 40 | $6\cdot 10^6$ | 4.10^{-5} s | $(3.10^{-4} s)$ | 3.5 s | (5.2 s) | 5.6 s |
| 63 | $4\cdot 10^{29}$ | 5.10^{-4} s | (0.03 s) | Mem. crash | (Crash) | 55 s |
| 217028 | $2 \cdot 10^{292431}$ | 8.11 s | (3.34 s) | Mem. crash | (Crash) | Timeout |
| | | | | | | |
| Arch size | e #LE | Arch gen | (count) | BIT gen | (count | :) CFTP gen |
| 10:2 | 43 | 2.10^{-5} s | $(4.10^{-5} s)$ | 0.002 s | 6.10^{-6} s | s) 0.04 s |
| 30:2 | $9.8\cdot10^8$ | 0.003 s | (0.0009 s) | 7.10^{-6} s | (0.0004 s | s) 1.5 s |
| 30:4 | $6.9\cdot10^{10}$ | 0.001 s | (0.005 s) | 7.10^{-5} s | (0.004 s | s) 2.5 s |
| 100:2 | $1.3 \cdot 10^{32}$ | 0.75 s | (0.16 s) | Mem. crash | (Crash | n) ⁶ 5.6 s |
| | 1.0 10 | | () | | · · | |

(31 s)

54 s

Mem. crash

(Crash)

Timeout

(Micro-) Benchmark

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| Arch size 10:2 30:2 30:4 100:2 100:32 | $\begin{array}{c c} & & & \\ & & &$ | ARCH gen 2.10 ⁻⁵ s 0.003 s 0.001 s 0.75 s 2.7 s | $\begin{array}{c} \text{(count)} \\ (4.10^{-5} \text{ s}) \\ (0.0009 \text{ s}) \\ (0.005 \text{ s}) \\ (0.16 \text{ s}) \\ (0.17 \text{ s}) \end{array}$ | BIT gen 0.002 s 7.10 ⁻⁶ s 7.10 ⁻⁵ s Mem. crash Mem. crash | (coun 6.10 ⁻⁶ (0.0004 (0.004 (Crash (Crash | CFTP gen s) 0.04 s s) 1.5 s s) 2.5 s n) 6 5.6 s n) 6 5.9 s |

 \Rightarrow All the (unoptimized Python) code available at https://gitlab.com/ParComb/combinatorics-barrier-synchro ¹⁵

The good parts

- The combinatorics tools are very sharp and characterize concurrency aspects in a very concrete way, the BIT-decomposition is IMHO a nice example of this.
- The geometrical interpretation (polytopes, etc.) is quite insightful, we only scratched the surface...
- The counting and random generation algorithms we propose apply directly on the control graphs or processes, there is no explicit construction of the state-space

The bad parts

- The curse of expressivity: combinatorics tools are so sharp that they simply cannot apply on too complex structures (but you know when you cross the line)
 - Non-determinism and synchronization? (ongoing work)
 ⇒ FSTCS'13: The Combinatorics of non-determininism (beautiful paper!)
 - Iteration? Recursion? (idea: unfolding of sizes, ...)

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Thank you! Any question?